	Emory Thesis and Dissertation (ETD) Repository Submission Agreement Form
EMORY UNIVERSITY	For Masters Theses and Doctoral Dissertations
Student Name:	
Student ID#:	
Graduate Program:	
Document Title:	
(Check One:)	Thesis or Dissertation

Please Note: You are the owner of the copyright in your thesis/ dissertation. By executing this document you are granting permission to Emory University to publish this document on the world wide web (immediately upon graduation unless otherwise specified). For dissertations, you are also granting permissions to ProQuest as described below.

Part 1 - Author Agreement:

(a) Emory University

I hereby grant to Emory University and its agents the non-exclusive license to archive, make accessible, and display, subject to the conditions specified below in Part 3, my thesis or dissertation in whole or in part in all forms of media, now or hereafter known, including the display of the thesis or dissertation on the world wide web. I retain all ownership rights to the copyright of the thesis or dissertation. I also retain the right to use in future works (such as articles or books) all or part of this thesis or dissertation. I certify that my electronic submission is the version of my thesis/dissertation that was approved by my committee.

Part 1 - Author Agreement (continued):

(b) ProQuest

All Laney Graduate School dissertations are sent to ProQuest, and will be distributed by ProQuest in their Dissertations and Theses Global Database (PQDT) as described below.

As a Laney Graduate School doctoral candidate submitting a dissertation, I hereby grant to ProQuest permission to: (i) reproduce the full text of dissertations submitted by me for a research degree awarded by Emory University; (ii) include such dissertation in ProQuest's PQDT Global database and (iii) make microform and/or digital copies of my dissertation for the purposes of public reference, archiving, inter-library loan, the supply and distribution of copies, including sale of individual copies. I further acknowledge that ProQuest will include the abstract, bibliography and other metadata in PQDT Global and any successor or related index and/or finding products or services. Such products may be delivered to customers in tangible and electronic formats as may be in existence now or developed in the future, whether individually or as part of electronic database and reference products.

ProQuest has agreed to honor the access restrictions you may select in Part 3 of this form. This information will be communicated to ProQuest at the time your dissertation is sent to ProQuest from the ETD system. If you would like to change the access restriction applied by ProQuest, you may elect to do so by contacting ProQuest directly by emailing disspub@proquest.com.

ProQuest has also agreed to pay royalties to dissertation authors for participation in ProQuest's distribution program if you provide and maintain your current address and tax information necessary for the payment of the royalties pursuant to ProQuest's applicable publishing program. You may change and/or update your address with ProQuest directly by emailing disspub@proquest.com.

If, after submission of your dissertation to ProQuest, you wish to withdraw your dissertation from this program with ProQuest, you may elect to do so by contacting ProQuest directly by emailing disspub@proquest.com.

Part 2 - Submission Questionnaire:

1. Does your thesis or dissertation contain any third-party text, audiovisual, or other material which is beyond a fair use and would require permission?

Yes	No	

If yes, you must obtain permission or remove the copyrighted content from your ETD before proceeding with submission. Examples of materials for which you may need permission include long quotations, images, and articles you authored for which you no longer own copyright. You will need to list the materials for which you received permission in Part 4 below.

You do not need to seek permission if:

- You authored the material and have not assigned copyright to someone else (like a publisher)
- The material is in the public domain (no longer protected by copyright)
- The material has been published under a Creative Commons license
- The use of the material fits the Fair Use parameters of <u>U.S. Copyright</u> <u>Law</u>. A use is more likely to be a fair use when it is used to advance an argument or is essential to comment and criticism, and when no more than what is needed to make the argument is used. A use is less likely to be a fair use when it is illustrative or decorative, or when large portions of the work are used.
- 2. Does your thesis or dissertation contain content, such as a previously published article, for which you no longer own copyright? If you have questions about your use of copyrighted material, please contact the Libraries' Scholarly Communications office at scholcomm@listserv.cc.emory.edu.

Yes No

3. Does your thesis or dissertation disclose or describe any inventions or discoveries that could potentially have commercial application and therefore may be patented? If so, please contact the Office of Technology Transfer (OTT) at (404) 727-2211.

Yes		No		
-----	--	----	--	--

If yes, further conversation with ETD administrators is required before you can continue with the ETD submission process. Please contact ETD Help at: http://sco.library.emory.edu/etds/help-form.html or contact the Libraries' Scholarly Communications office at scholcomm@listserv.cc.emory.edu.

4. Are you requesting an access restriction (see Part 3 below) for your thesis or dissertation?

Yes	No
-----	----

The ETD system allows for both full and partial embargo of your work. A partial embargo will allow visitors to read your abstract and/or table of contents while the full text of your thesis or dissertation is embargoed. A full embargo allows to you hide this information for the duration of the embargo period. Should your abstract and/or table of contents be included in the access restriction?

Yes		No		
-----	--	----	--	--

If yes, you will need to restrict access to your abstract and/or your table of contents durig the electronic submission process. If you have already submitted your document to the ETD repository, please contact ETD Help at: http://sco.library.emory.edu/etds/help-form.html for assistance.

Part 3 - Terms of Access:

Access restrictions must receive approval from your advisor and the Graduate School.

|--|

Check Box	Option 1: OPEN ACCESS.
Below to	By choosing open access you are agreeing to publish your thesis or dissertation in Emory's
Choose	ETD repository immediately after graduation. This option will provide the broadest
Option 1:	possible access to your work. The full-text of your thesis or dissertation and any
	supplemental files will be accessible on the internet for unlimited viewing. Your thesis or dissertation will be indexed and discoverable via major search engines.

OR

Check One (and only one Box) Below to Choose Option 2 and the duration of your embargo.	Option 2: RESTRICTED ACCESS By choosing restricted access, you are requesting that the library restrict access to all full-text copies of your thesis or dissertation for a specified period of time. Your thesis or dissertation will be listed and indexed in the Emory Library Online Catalog and in the ETD repository, but the content, the full text of your thesis or dissertation and any supplementary files, will not be accessible until the expiration of the restricted access period. If you choose to restrict access to the full-text copy of your thesis or dissertation, then you may also restrict access to your abstract or table of contents during the electronic submission process. If you do not restrict access to your abstract and/or table of contents, then this information will be displayed on the web in the ETD record for your thesis or dissertation even access to the full- text copy is restricted.
	We encourage you to not embargo your abstract. If you or your advisor have concerns about publishing your abstract, we recommended that you edit it to remove the information you are concerned about making public. Abstract information is an important tool for scholars to learn about the research taking place in their fields, and abstracts of dissertations in particular is a way to communicate where early stage researchers are moving their fields. Of course, if in the end you are convinced that embargoing your abstract is the best option, then we will implement your choice as indicated on your submission form and in your ETD record.
	You will be notified by the library sixty (60) days prior to the expiration of the restricted period that your thesis or dissertation will be published on the internet. It is your responsibility to notify Laney Graduate School and the library that you need to extend the access restriction, and to provide the library with an updated email address.
	Please select a time period you would like restricted access below.
	I request that the full-text of my thesis or dissertation (and any supplemental
	files) be published no sooner than:
	□ 6 months after my graduation
	□ 1 year after my graduation
	□ 2 years after my graduation
	□ 6 years after my graduation

Part 4 – Inclusion of Previously Copyrighted Material

I hereby certify that all text, audio-visual, or other material *not created by me or for which I no longer control copyright* that is included in my submission (a) has been identified in my submission by quotation, if directly quoted, and with appropriate source citations; and (b)

- falls within the parameters of "Fair Use" as defined by US copyright law; or
- is unambiguously a part of the public domain as a matter of law; or
- is the subject of a properly documented permission obtained from the entity that owns or controls the copyright in the material.

I will provide copies of any such permissions upon request. Following is a list of the items for which I have sought and received written permission from the copyright owners to include in my submission (attach a separate page if necessary):



- I, the undersigned, have read this form in its entirety, and by signing below:
 - (1) Grant the licenses described in Part 1;
 - (2) Certify that responses to questions in Part 2 are true and accurate;
 - (3) Agree to the terms of access detailed in Part 3; and
 - (4) Certify that I have obtained the proper permissions, if necessary, for any previously copyrighted materials included in my thesis or dissertation as described in Part 4, and will provide copies upon request.

Author Signature

I, the undersigned, as a committee chair for the Author above, have discussed this form with the author and approve the decisions made herein.

CONFIRMED: Signature of Committee Chair

FOR LANEY GRADUATE SCHOOL USE ONLY:

FORM REVIEW BY:

ACCESS RESTRICTIONS APPROVED BY:

Date

Date

Date

Date